Instruction 1(b).

FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to	STATEMENT OF CHANGES IN BENEFICIAL	<b>OWNERSHIP</b>
Section 16. Form 4 or Form 5 obligations may continue. See		
obligatione may continue.		

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  HARKIN RUTH R					U	2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ UTX								Office of Colors title				10% Ow	/ner	
<i>a</i>	<i>(</i> =		0.0.1.11.											X	below)			Other (s below)	pecity	
(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year)									SVP	Intl Affai	rs &	Gov't Rltn	s	
UNITED TECHNOLOGIES CORPORATION				01/	01/09/2004															
ONE FINANCIAL PLAZA					4 1	4. If Amondment, Date of Original Filed (Month/Dev/Moss)								6. Individual or Joint/Group Filing (Check Applicable						
(0)					4. '	4. If Amendment, Date of Original Filed (Month/Day/Year)								Line)						
(Street) HARTFO	ORD C'	т	06101											X	Form fi	led by One	Repo	orting Person	1	
		1	00101												Form filed by More than One Reporting Person					
(City)	(S	tate)	(Zip)																	
		Tab	le I - Non	-Deriv	ative	e Se	curities	s Ac	quired,	Dis	posed o	f, or Be	nefici	ally	Owned					
1. Title of Security (Instr. 3)  2. Transa Date (Month/D						Execution Date,			3. Transaction Disposed Of (D) (Instr. 3, 4) (Code (Instr. 5)			ed (A) o tr. 3, 4 a	l and Securition Benefici Owned I		es Form ally (D) of Following (I) (II		m: Direct or Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A) or (D)		e	Reported Transact (Instr. 3 a	tion(s)			(Instr. 4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)			ate, T	4. Transaction Code (Instr. 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amoun of Securities Underlying Derivative Security (Instr. 3 and 4)		5	price of Derivative Gecurity Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title	Amou or Numb of Share	er						
Non- Qualified Stock Option (right to buy)	\$93.52	01/09/2004			A		18,000		01/09/200	7 (	01/08/2014	Common Stock	18,00	00	\$0	18,000	0	D		

**Explanation of Responses:** 

By: /s/ Charles F. Hildebrand as 01/13/2004 Attorney-in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.