

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
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1. Name and Address of Reporting Person* <u>Amato Elizabeth B</u> (Last) (First) (Middle) <u>10 FARM SPRINGS ROAD</u> (Street) <u>FARMINGTON CT 06032</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/ [UTX]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>EVP & Chief HR Officer</u>
	3. Date of Earliest Transaction (Month/Day/Year) <u>09/12/2017</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	09/12/2017		p ⁽¹⁾		49	A	\$110.31	21,542.6919	D	
Common Stock	09/12/2017		p ⁽¹⁾		1	A	\$110.52	21,543.6919	D	
Common Stock	12/12/2017		p ⁽¹⁾		44	A	\$123.53	21,516.2957	D	
Common Stock	03/13/2018		p ⁽²⁾		41	A	\$132.15	23,881.8422	D	
Common Stock	03/13/2018		p ⁽¹⁾		1	A	\$122.73	23,882.8422	D	
Common Stock	06/12/2018		p ⁽²⁾		42	A	\$128.32	21,702.978	D	
Common Stock	09/11/2018		p ⁽²⁾		41	A	\$133.17	21,794.2492	D	
Common Stock	09/11/2018		p ⁽²⁾		1	A	\$128.44	21,795.2492	D	
Common Stock	09/11/2018		p ⁽²⁾		0.6257	A	\$133.163	21,795.8749	D	
Common Stock								14,609	I	By Savings Plan Trustee

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					

Explanation of Responses:

- These transactions were dividend reinvestment transactions that were inadvertently executed by the reporting person's investment advisor. The reporting person has voluntarily paid to the issuer an amount equal to the short-swing profit that would be payable if the reported transactions were deemed subject to Section 16(b) of the Securities Exchange Act of 1934.
- These transactions were dividend reinvestment transactions that were inadvertently executed by the reporting person's investment advisor. These transactions did not result in a short-swing profit because the purchase prices were in each case greater than the matchable sale prices and, as a result, no disgorgement to the issuer is required by the reporting person.

/s/ Ariel R. David as Attorney-in-Fact 01/31/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.