

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104
Estimated average burden
hours per response: 0.5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Dumais Michael R</u> (Last) (First) (Middle) <u>ONE FINANCIAL PLAZA</u> (Street) <u>HARTFORD CT 06101</u> (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>09/28/2011</u>	3. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/ [UTX]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>President, Hamilton Sundstrand</u>	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
<u>Common Stock</u>	<u>3,081</u>	<u>D</u>	
<u>Common Stock</u>	<u>1,044</u>	<u>I</u>	<u>By Savings Plan Trustee</u>

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
<u>Non-Qualified Stock Option (right to buy)</u>	<u>01/09/2007</u>	<u>01/08/2014</u>	<u>Common Stock</u>	<u>18,000</u>	<u>46.76</u>	<u>D</u>	
<u>Non-Qualified Stock Option (right to buy)</u>	<u>01/03/2008</u>	<u>01/02/2015</u>	<u>Common Stock</u>	<u>15,300</u>	<u>51.5</u>	<u>D</u>	
<u>SRP Stock Unit</u>	<u>(1)</u>	<u>(1)</u>	<u>Common Stock</u>	<u>259.79</u>	<u>(1)</u>	<u>D</u>	
<u>Stock Appreciation Right</u>	<u>01/02/2012</u>	<u>01/01/2019</u>	<u>Common Stock</u>	<u>20,600⁽²⁾</u>	<u>54.95</u>	<u>D</u>	
<u>Stock Appreciation Right</u>	<u>01/03/2009</u>	<u>01/02/2016</u>	<u>Common Stock</u>	<u>10,700</u>	<u>56.53</u>	<u>D</u>	
<u>Stock Appreciation Right</u>	<u>01/03/2010</u>	<u>01/02/2017</u>	<u>Common Stock</u>	<u>11,700</u>	<u>62.81</u>	<u>D</u>	
<u>Stock Appreciation Right</u>	<u>01/04/2013</u>	<u>01/03/2020</u>	<u>Common Stock</u>	<u>14,200⁽³⁾</u>	<u>71.63</u>	<u>D</u>	
<u>Stock Appreciation Right</u>	<u>01/02/2011</u>	<u>01/01/2018</u>	<u>Common Stock</u>	<u>12,200</u>	<u>75.21</u>	<u>D</u>	
<u>Stock Appreciation Right</u>	<u>01/03/2014</u>	<u>01/02/2021</u>	<u>Common Stock</u>	<u>17,200⁽⁴⁾</u>	<u>78.99</u>	<u>D</u>	

Explanation of Responses:

- Each Savings Restoration Plan ("SRP") stock unit is the economic equivalent of one share of UTC common stock. The reported SRP stock units were acquired under UTC's Savings Restoration Plan, an excess benefit plan, and are to be settled upon the reporting person's retirement or other termination of service.
- The reporting person was also awarded 4,740 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.
- The reporting person was also awarded 4,090 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.
- The reporting person was also awarded 4,450 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return.

/s/ Charles F. Hildebrand as
Attorney-in-Fact10/07/2011

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.